



Fill in the form on your computer or legibly by hand. Sign the form and send in the original. **More information on page 3.**

Skicka till | Send to  
Bolagsverket  
SE-851 81 Sundsvall, Sweden

**1. Anmälan gäller | Application regarding**

<input type="checkbox"/> Registrering av anknutet ombud   Registration of a tied agent
<input type="checkbox"/> Ändring av tidigare registrerade uppgifter, skriv ändringen:   Change to previously registered information. State the change: <hr/>
<input type="checkbox"/> Avregistrering av anknutet ombud   Deregistration of a tied agent

**2. Värdepappersinstitut | Securities institute**

Företagsnamn   Business name		Organisationsnummer   Company registration number
Postadress   Postal address		
Postnummer   Postcode	Postort   Town/City	Telefonnummer   Phone number
Land   Country	E-postadress   Email address	

**3. Kontaktperson på värdepappersinstitutet | Contact person at the securities institute**

Kontaktpersonens förnamn och efternamn   First name and surname of the contact person	Telefonnummer   Phone number daytime	Deposit account, if any, 3 digits
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**4. Filial | Branch**

Företagsnamn   Business name		Organisationsnummer   Company registration number
Postadress   Postal address		
Postnummer   Postcode	Postort   Town/City	Telefonnummer   Phone number
Land   Country	E-postadress   Email address	

**5. Anknutet ombud | Tied agent Natural person or legal entity**

Ombudets förnamn och efternamn eller företagsnamn   First name and surname or business name of the agent		Phnr/org.nr   Personal identity number/registration number
Postadress   Postal address		
Postnummer   Postcode	Postort   Town/City	Telefonnummer   Phone number
Land   Country	E-postadress   Email address	

**6. Land inom EES | Country within the EEA**

Land   Country
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**7. Verksamhet | Business activities**

	Eventuell beskrivning   Description, if applicable
<input type="checkbox"/> Marknadsföra investerings- eller sidotjänster   Marketing investment or ancillary services	
<input type="checkbox"/> Ta emot eller vidarebefordra instruktioner eller order avseende investeringstjänster eller finansiella instrument   Receiving or forwarding instructions or orders regarding investment services or financial instruments	
<input type="checkbox"/> Placera finansiella instrument   Placing financial instruments	
<input type="checkbox"/> Ge investeringsrådgivning avseende finansiella instrument eller investeringstjänster   Giving investment advice regarding financial instruments or investment services	

**8. Övrigt | Other matters**

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**9. Underskrift | Signature** The form must be signed by a board member or the managing director of the securities institute. Please use blue ink.

Datum   Date	Namnteckning   Sign name	Namnförtydligande   Print name

**10. Registreringsavgift | Registration fee** Pay the fee to bank giro number 5050-0255.

Betalt belopp   Amount paid	Datum   Date of payment	

## Information

Use this form when you want to register that an agent will be tied to a foreign securities institute, report changes or deregister an agent. When the application has been registered we will send you a registration certificate in Swedish. More information is available on [bolagsverket.se](http://bolagsverket.se).

A tied agent who has their place of business in Sweden must be registered with Bolagsverket. The securities institute contracting the tied agent must report this.

### 1. Application regarding

State the reason for your application.

### 2. Securities institute

Fill in the business name and address details of the securities institute.



#### Attachments

- A certified copy of the certificate of registration or an equivalent document for the foreign securities institute. The certificate should not be older than six months.
- A certified copy of a document showing that the signing person is an authorized signatory of the securities institute, if this is not evident from the certificate of registration.
- Information from Finansinspektionen (the Swedish financial supervisory authority) that notification has been received by the institute (certified copy) or information from an appropriate authority in the home country that notification has taken place (certified copy).

### 3. Contact person at the securities institute

Fill in the name and telephone number of the contact person. If the institute has a deposit account with Bolagsverket and wants to use this for payment of the registration fee, you must also fill in the three-digit account number.

### 4. Branch

If the securities institute has a branch in Sweden, you must state the business name and address details of the branch.

### 5. Tied agent

Fill in the name and address details of the tied agent.



#### Attachments, when applicable

If the tied agent is a foreign legal entity with Sweden as their place of business, you must submit

- details of the business name of the branch, the registration number, address and telephone number
- a certified copy of the certificate of registration or equivalent document for the tied agent. The certificate should not be older than six months.

If the tied agent is not domiciled in Sweden, a certified copy of their passport or other identity document must be sent in.

### 6. Country within the EEA

State the country within the European Economic Area (EEA) in which the tied agent is carrying on business. Only fill this in if registration is not permitted in the country where the tied agent has their place of business.

### 7. Business activities

State the services (business activities) that the tied agent will carry out.

### 8. Other matters

Fill in other information here.

### 9. Signature

A board member or the managing director of the securities institute must sign the form.

### 10. Registration fee

Please state the amount you will pay and the payment date. Pay the fee to bank giro number 5050-0255 and give the company registration number of the securities institute as the payment reference. We can start processing your application when we have received the payment. We cannot refund the payment once we have begun processing your case.