



Fill in the form on your computer or legibly by hand. Sign the form and send in the original. **More information on page 3.**

Skicka till | Send to
Bolagsverket
SE-851 81 Sundsvall, Sweden

1. Anmälan gäller | Application regarding

<input type="checkbox"/> Registrering av anknutet ombud Registration of a tied agent
<input type="checkbox"/> Ändra postadress och e-postadress för anknutet ombud Change address and email address of a tied agent
<input type="checkbox"/> Ändring av tidigare registrerade uppgifter, skriv ändringen: Change to previously registered information. State the change: _____
<input type="checkbox"/> Avregistrering av anknutet ombud Deregistration of a tied agent

2. Värdepappersinstitut | Securities institute

Företagsnamn Business name		Org.nr Company registration number	Ev. depositionskontonr (tre siffror)
Postadress Postal address			
Postnummer Postcode	Postort Town/City		
Land Country	Värdepappersinstitutets e-postadress Email address of this securities institute		
Kontaktpersonens förnamn och efternamn First name and surname of the contact person		Telefonnummer Phone number	
Kontaktpersonens e-postadress Email address of the contact person			

3. Filial | Branch

Företagsnamn Business name		Organisationsnummer Company registration number
Postadress Postal address		
Postnummer Postcode	Postort Town/City	
Land Country	E-postadress Email address	
		Telefonnummer Phone number

4. Anknutet ombud | Tied agent Natural person or legal entity

Ombudets förnamn och efternamn eller företagsnamn First name and surname or business name of the agent		Pnr/org.nr Personal identity number/registration number
Postadress Postal address		
Postnummer Postcode	Postort Town/City	
Land Country	E-postadress Email address	
		Telefonnummer Phone number

5. Land inom EES | Country within the EEA


Land Country

6. Verksamhet | Business activities

	Eventuell beskrivning Description, if applicable
<input type="checkbox"/> Marknadsföra investerings- eller sidotjänster Marketing investment or ancillary services	
<input type="checkbox"/> Ta emot eller vidarebefordra instruktioner eller order avseende investeringstjänster eller finansiella instrument Receiving or forwarding instructions or orders regarding investment services or financial instruments	
<input type="checkbox"/> Placera finansiella instrument Placing financial instruments	
<input type="checkbox"/> Ge investeringsrådgivning avseende finansiella instrument eller investeringstjänster Giving investment advice regarding financial instruments or investment services	

7. Övrigt | Other matters

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 **8. Underskrift | Signature** The form must be signed by a board member or the managing director of the securities institute. Please use blue ink.

Datum Date	Namnteckning Sign name	Namnförtydligande Print name

Registreringsavgift | Registration fee

We will send a notification to the contact person at the securities institute with information about the registration fee and how to pay it. We can start processing your application when we have received the payment.

Information

Use this form when you want to register that an agent will be tied to a foreign securities institute, report changes or deregister an agent. When the application has been registered we will send you a registration certificate in Swedish. More information is available on bolagsverket.se.

A tied agent who has their place of business in Sweden must be registered with Bolagsverket. The securities institute contracting the tied agent must report this.

1. Application regarding

State the reason for your application.

2. Securities institute

Fill in the business name and address details of the securities institute and the name, telephone number and email address of the contact person. If the institute has a deposit account with Bolagsverket and wants to use this for payment of the registration fee, you must also fill in the three-digit account number.

Attachments

- A certified copy of the certificate of registration or an equivalent document for the foreign securities institute. The certificate should not be older than six months.
- A certified copy of a document showing that the signing person is an authorized signatory of the securities institute, if this is not evident from the certificate of registration.

3. Branch

If the securities institute has a branch in Sweden, you must state the business name and address details of the branch.

4. Tied agent

Fill in the name and address details of the tied agent.

Attachments, when applicable

If the tied agent is a foreign legal entity with Sweden as their place of business, you must submit

- details of the business name of the branch, the registration number, address and telephone number
- a certified copy of the certificate of registration or equivalent document for the tied agent. The certificate should not be older than six months.

If the tied agent is not domiciled in Sweden, a certified copy of their passport must be sent in.

5. Country within the EEA

State the country within the European Economic Area (EEA) in which the tied agent is carrying on business. Only fill this in if registration is not permitted in the country where the tied agent has their place of business.

6. Business activities

State the services (business activities) that the tied agent will carry out.

7. Other matters

Fill in extra information here.

8. Signature

A board member or the managing director of the securities institute must sign the form.